

Osler, Hoskin & Harcourt LLP  
Box 50, 1 First Canadian Place  
Toronto, Ontario, Canada M5X 1B8  
416.362.2111 MAIN  
416.862.6666 FACSIMILE

OSLER

Toronto  
Montréal  
Calgary  
Ottawa  
New York

Jarmila Pencikova, CPA, CMA  
Direct Dial: (416) 862-5891  
jpencikova@osler.com

March 27, 2018

**SENT BY COURIER**

**CONFIDENTIAL**

Meagan Phillips  
Canadian Lawyers Liability Assurance Society  
Office of the General Manager  
36 Toronto Street  
Suite 510  
Toronto, Ontario  
M5C 2C5



Dear Meagan:

**CLLAS Renewal Application - July 1st, 2018**

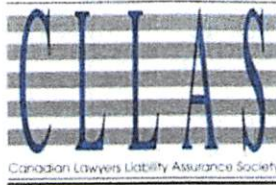
Enclosed is the 2018/2019 CLLAS Renewal Application for Excess Professional Liability Insurance.

Please don't hesitate to call me or David Morritt (416-862-6723) if you have any questions.

Yours very truly,

A handwritten signature in blue ink, appearing to read "JPencikova".

Jarmila Pencikova, CPA, CMA  
Director, Finance  
JP:  
Enclosures



Canadian Lawyers Liability Assurance Society

2018/2019 Renewal Application for  
Excess Professional Liability Insurance

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This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

*Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Osler, Hoskin & Harcourt LLP
2. Address of principal office: PO Box 50, First Canadian Place, 100 King St. W, Suite 6200  
Toronto ON M5X 1B8  
Phone: ( 416 ) 362-2111 Fax: ( 416 ) 862-6666
3. Address, phone and fax numbers of other office(s):  
see attached
4. Management or service companies, date(s) established and services provided:  
Carthos Services LP, established on November 10, 1995, providing admin support to the  
law firm of Osler, Hoskin & Harcourt LLP in Canada
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no  
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

N/A

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7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes      ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2018:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	56.74	%	52.77	%
b) Criminal Law	0	%	0	%
c) Family Law	0	%	0	%
d) Intellectual Property	4.48	%	4.52	%
e) Labour Law	1.15	%	1.68	%
f) Litigation	14.03	%	16.90	%
g) Real Estate	3.11	%	2.64	%
h) Securities Law	4.58	%	5.41	%
i) Tax Matters	14.20	%	14.32	%
j) Wills, Estates, Trust	0.15	%	0.16	%
k) Other (please specify)	1.56	%	1.60	%
<u>Pension &amp; Benefits, Translation, Research</u>				

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2017. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

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14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes      ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2018 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: \_\_\_\_\_



*(Must be signed by a Partner of the Firm)*

Name of Signatory: \_\_\_\_\_

**David Morritt**

*(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)*

Date: \_\_\_\_\_

**March 20, 2018**

**OSLER HOSKIN & HARCOURT LLP**  
**LIST OF OFFICES**  
**AS OF MARCH 1, 2018**

- 1    **Toronto**  
100 King Street West, Suite 6200  
1 First Canadian Place, P.O. Box 50  
Toronto, Ontario M5X 1B8
  
- 2    **Ottawa**  
340 Albert Street  
Suite 1900  
Ottawa, Ontario K1R 7Y6
  
- 3    **Montréal**  
1000 de la Gauchetière Street West  
Suite 2100  
Montréal, Québec H3B 4W5
  
- 4    **Calgary**  
TransCanada Tower  
450 - 1st St. S. W., Suite 2500  
Calgary, Alberta T2P 5H1
  
- 5    **Vancouver**  
1055 West Hastings Street  
Suite 1700, Guinness Tower  
Vancouver, BC V6E 2E9
  
- 6    **New York**  
620 8th Avenue  
36th Floor  
New York, N.Y. 10018  
U.S.A.

## APPENDIX A

### PREDECESSOR FIRMS

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Name of Firm: Osler, Hoskin & Harcourt LLP

#### Merger Date

- |                           |                  |
|---------------------------|------------------|
| ▪ Herridge, Tolmie        | February 1, 1986 |
| ▪ MacDonald & Ferrier     | July 1, 1986     |
| ▪ Rogers, Rogers, Moore   | March 1, 1988    |
| ▪ Osler Hoskin & Harcourt |                  |

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

**APPENDIX B**  
**ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018**

Name of Firm: Osler, Hoskin & Harcourt LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA</u> <sup>/5</sup>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces</u> <u>(Please specify)</u>	<u>U.S.</u>	<u>Other</u> <u>Locations</u>
a) No. of Lawyers <sup>/1</sup>	<u>15</u>	<u>63</u>	<u>299</u>	<u>50</u>	<u></u>	<u>16 **</u>	<u></u>
b) No. of Patent & Trademark Agents <sup>/2</sup>	<u></u>	<u></u>	<u>4</u>	<u></u>	<u></u>	<u></u>	<u></u>
c) No. of Non-lawyer Consultants <sup>/3</sup>	<u></u>	<u></u>	<u>1</u>	<u></u>	<u></u>	<u></u>	<u></u>
d) No. of Paralegals	<u>4</u>	<u>4</u>	<u>34</u>	<u>15</u>	<u></u>	<u>3</u>	<u></u>
e) No. of Other Employees	<u>10</u>	<u>53</u>	<u>420</u>	<u>56</u>	<u></u>	<u>7</u>	<u></u>
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

**\*\* Please note that one of the lawyers (Kevin Colan) of our US office is physically working from Nova Scotia.**



**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

<b>Last Name</b>	<b>First Name</b>	<b>Office</b>	<b>Year of Call (Actual)</b>
Abbott	Mary	Toronto	ON 1998
Aboud	Malcolm	Toronto	ON 2013
Ahmed	Firoz	Toronto	ON 1986
Allen	Jacqueline	Toronto	ON 2012
Anderson	Brett	Toronto	ON 2012
Anton	Robert	Toronto	ON 2012
Ashton	Courtney	Toronto	ON 2010
Auron	James	Toronto	ON 2015
Austin	Mark	Toronto	ON 1999, AB 1997
Aziz	Andrew	Toronto	ON 1988
Barnes	Lyndon	Toronto	ON 1973
Barrett	Laurie	Toronto	ON 1982
Barz	Even	Toronto	ON 2017
Bayne	Chad	Toronto	ON 2002
Beale	Alexis	Toronto	ON 2014
Beaumont	Robert	Toronto	ON 1986
Begun	Dov	Toronto	ON 1994
Bennett	Chris	Toronto	ON 2001
Bernasek	Joyce	Toronto	ON 2003, NY 2009
Binions	Blake	Toronto	ON 2015
Biringer	Monica	Toronto	ON 1986
Bjorkquist	Sonia	Toronto	ON 1997
Black	John	Toronto	ON 1994
Blom	R. Johanna	Toronto	ON 2004
Borins	Richard	Toronto	ON 1995
Bowman	Michael	Toronto	ON 1983
Boyd	Andrew	Toronto	ON 2014
Brown	James	Toronto	ON 2008
Bruschetta	Lia	Toronto	ON 2011
Bryce	Doug	Toronto	ON 1997
Burgess	Jeremy	Toronto	ON 2015
Burgoyne	Terrence R	Toronto	ON 1983, ENGLAND AND WALES 1988
Callaghan	Patrick	Toronto	ON 1995
Calvaruso	Martino	Toronto	ON 2009
Cao	Taylor	Toronto	ON 2017
Carson	Robert A	Toronto	ON 2009
Cheng	May	Toronto	ON 1993
Chin	Natasha	Toronto	ON 2017
Choi	Eric	Toronto	ON 2015
Clutterbuck	Alyssa	Toronto	ON 2017
Cobb	Alexander	Toronto	ON 2002
Code	Jacqueline	Toronto	ON 1998
Coleman	Allan	Toronto	ON 1999
Cotric	Vanessa	Toronto	ON 2015
Cotter	John	Toronto	ON 1988
Creighton	Victoria	Toronto	ON 2009
Cymbalisty	Shawn	Toronto	ON 2014

**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

Last Name	First Name	Office	Year of Call (Actual)
Dacks	Jeremy	Toronto	ON 1999
Dattu	Riyaz	Toronto	ON 1984
Davachi	David	Toronto	ON 2010
Davidge	Rod	Toronto	ON 2000
Dawson	Douglass	Toronto	ON 2015
de Grandpré	Vincent	Toronto	ON 2003, NY 2001, QC 1997
De Lellis	Michael	Toronto	ON 2003
D'Elia	Caroline	Toronto	ON 2002
Deol	Sandeep	Toronto	ON 2013
DesLauriers	Mark	Toronto	ON 1983
Devir	Anthony	Toronto	ON 1993, AUSTRALIAN CAPITAL TERRITORY 1983, NEW SOUTH WALES 1989
Dharamdial	Justin	Toronto	ON 2013
Di Cesare	Allison	Toronto	ON 2015
Di Cresce	Stella	Toronto	ON 2005
Dickie	Steven	Toronto	ON 2011
Disenhouse	Joshua	Toronto	ON 2014
Dolman	Jennifer	Toronto	ON 1991
Donald	Danna	Toronto	ON 2004
Emakpor	Tobor	Toronto	ON 2008, ENGLAND & WALES 1994
Erickson	Justine	Toronto	ON 2016
Fairfax	Jennifer	Toronto	ON 2003
Fekete	Michael	Toronto	ON 1993
Feng	Kevin (Yan)	Toronto	ON 2017
Ferrier	Megan	Toronto	ON 2003
Fidler	Carly	Toronto	ON 2011, NY 2012
Fine	Joanna	Toronto	ON 2006
Fineblit	Joshua	Toronto	ON 2017
Fraiberg	Jeremy	Toronto	ON 2000
Franklyn	Peter	Toronto	ON 1984
Fraser	Jean	Toronto	ON 1977
Fric	Laura	Toronto	ON 1995
Frith	Andraya	Toronto	ON 1998
Fullerton	Richard	Toronto	ON 1998
Gelowitz	Mark	Toronto	ON 1991, SK 1987
Gilchrist	Donald	Toronto	ON 1985
Gillott	Roger	Toronto	ON 1996
Gilmour	Emily	Toronto	ON 2016
Gleason-Mercier	Catherine	Toronto	ON 2013
Glendinning	Deborah	Toronto	ON 1990
Glossop	Peter	Toronto	ON 1986
Gonzalez	Jessica	Toronto	ON 2010
Gorka	Alexander	Toronto	ON 2010
Graham	Victoria	Toronto	ON 2000
Grantmyre	Michael	Toronto	ON 2012
Gray	Brian	Toronto	ON 2010

**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

Last Name	First Name	Office	Year of Call (Actual)
Greenspoon	Jay	Toronto	ON 2010
Groenewegen	John	Toronto	ON 1997
Gross	Wendy	Toronto	ON 1993
Grove	Geoffrey	Toronto	ON 2009
Hall-McGuire	Rebecca	Toronto	ON 2015
Hansford	Vanessa	Toronto	ON 2011
Hanson	Jason	Toronto	ON 1982
Harper	Lauren	Toronto	ON 2016
Hart	Michael	Toronto	ON 1995
Hartog	Adrian	Toronto	ON 1984
Hay	Sandy	Toronto	ON 2017
Heale	Amanda	Toronto	ON 2005
Heard	Joel	Toronto	ON 1994
Hincer	Ilkim	Toronto	ON 1997, BC 2005
Hirsh	Adam	Toronto	ON 2008
Hodgett	Simon	Toronto	ON 1992, NF & LABRADOR 1996
Horner	Clay	Toronto	ON 1986
Hughes	Timothy	Toronto	ON 2000
Hunnisett	Geoffrey	Toronto	ON 2009
Hunter	Rosalind	Toronto	ON 2006
Ibrahim	Aatifa	Toronto	ON 2017
Innes	Michael	Toronto	ON 2001, MASSACHUSETTS 1998
Ip	Sam	Toronto	ON 2014
Irving	Shawn	Toronto	ON 2004
Irwin	Andrew	Toronto	ON 2016
Ivanoff	Paul	Toronto	ON 1993, NB 1991
Jackson	Christine	Toronto	ON 2011
Jamal	Mahmud	Toronto	ON 1996
Jamieson	David	Toronto	ON 2011
Kaplan	Arielle	Toronto	ON 2016
Kardash	Adam	Toronto	ON 1994
Kenigsberg	Alan	Toronto	ON 2001
Khazam	Robert	Toronto	ON 2015
King	Richard	Toronto	ON 1996
Kingdom	Hannah	Toronto	ON 2015
Kingissepp	Andrew	Toronto	ON 1984
Kirsh	Marissa	Toronto	ON 2014
Kirsh	Trevor	Toronto	ON 2015
Klyguine	Alex	Toronto	ON 2014
Kotschorek	Paul	Toronto	ON 2001
Kuzma	Kaeleigh	Toronto	ON 2008
Lacoursiere	Lynne	Toronto	ON 2013, NY 2003
Lally	Michelle	Toronto	ON 1992
Lam	Joshua	Toronto	ON 2010
Law	Tara	Toronto	ON 2008
Ledger	Brett	Toronto	ON 1979
Lee	Desmond	Toronto	ON 1998

**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

<b>Last Name</b>	<b>First Name</b>	<b>Office</b>	<b>Year of Call (Actual)</b>
Lefcoe	Lauren	Toronto	ON 2014
Leith	Simon	Toronto	ON 2013
Lem	Megan	Toronto	ON 2016
Leung	Kevin	Toronto	ON 2016
Lin	Jeremy	Toronto	ON 2017
Lipkus	Nathaniel	Toronto	ON 2007, NY 2008
Litner	Paul	Toronto	ON 1990
Liu	Ted	Toronto	ON 2008
Livshitz	Natalie	Toronto	ON 2016
Lockhart	Andrea	Toronto	ON 2008
Lockwood	Craig	Toronto	ON 2002
Lowenstein	Larry	Toronto	ON 1983
Ludwin	Ilana	Toronto	ON 2014
MacDonald	John	Toronto	ON 1986, NB 1988
MacDougall	Andrew	Toronto	ON 1993
MacFarlane	John	Toronto	ON 1991, AB 1998
Mack	Arlene	Toronto	ON 2012
Malik	Waleed	Toronto	ON 2015
Mantello	Lisa	Toronto	ON 2003
Marin	Jonathan	Toronto	ON 2011
Marley	Patrick	Toronto	ON 1997, NY 2004
Marshall	Douglas	Toronto	ON 1989
Marta	Christopher	Toronto	ON 2016
McCarthy	Ethan	Toronto	ON 2015
McKean	Heather	Toronto	ON 1982
McLeod	Sarah	Toronto	ON 2016
Meghji	Al	Toronto	ON 1990, AB 1995
Miao	Amelia	Toronto	ON 2012
Mihailovich	Pooja	Toronto	ON 2006
Milet	Matias	Toronto	ON 2005, NY 2000,
Millar	Sarah	Toronto	ON 2003
Milligan	Peter	Toronto	ON 1983
Mishra	Lipi	Toronto	ON 2017
Mitchell	Timothy	Toronto	ON 2011
Mochrie	Dominic	Toronto	ON 2002
Moffatt	Kelly	Toronto	ON 1992
Morassutti	Paul	Toronto	ON 1988
Morgan	Eric	Toronto	ON 2011,SOLICITOR SUPREME COURT OF ENGLAND & WALES 2010
Morier	Drew	Toronto	ON 2002, QC 2003, AB 2010
Morley	Kevin	Toronto	ON 1987
Morritt	David	Toronto	ON 1984
Mpermperacis	Elizabeth	Toronto	ON 2014
Murray	Blake	Toronto	ON 1975
Murray	Christopher	Toronto	ON 1985
Nanji	Al-Nawaz	Toronto	ON 2003
Naudie	Christopher	Toronto	ON 1997

**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

Last Name	First Name	Office	Year of Call (Actual)
Newell	Susan	Toronto	ON 2010
Nielsen	Ryan	Toronto	ON 2009
Nurmohamed	Sameer	Toronto	ON 2014
Obal	Shelley	Toronto	ON 1985
O'Brien	Kevin	Toronto	ON 2005
Pandya	Sonam	Toronto	ON 2017
Paterson	Mary	Toronto	ON 2005
Pavic	Sonja	Toronto	ON 2013
Pearlstein	Jason	Toronto	ON 2014
Perey	Andre	Toronto	ON 1996
Ponder	Dale	Toronto	ON 1982
Popuri	Swetha	Toronto	ON 2016
Poysa	Sven	Toronto	ON 2006
Pratt	Randall	Toronto	ON 1992
Pressman	Emmanuel	Toronto	ON 1998
Qu	Shujie	Toronto	ON 2015
Quintieri	Matthew	Toronto	ON 2017
Rankin	William	Toronto	ON 2013, AB 2011
Rauccio	Lindsay	Toronto	ON 2010
Reid	Aislinn	Toronto	ON 2009
Richardson Arnould	Marc	Toronto	QC 2012
Rienzo	Douglas	Toronto	ON 1994
Riesterer	Patrick	Toronto	ON 2011
Ritchie	Lawrence	Toronto	ON 1996
Ritchie	Matthew	Toronto	ON 2014
Roberts	Nancy	Toronto	ON 1996
Robertson	Thomas	Toronto	ON 2016
Rochkin	Marta	Toronto	ON 2014
Rodal	Shuli	Toronto	ON 2000
Rousseau	Arthur	Toronto	ON 2017
Rowe	Mary	Toronto	ON 2015
Royer(Mulherin)	Katherine	Toronto	AB 2013
Rubin	Erin	Toronto	ON 2016
Sachar	Karin	Toronto	ON 2011
Sadikman	Jacob	Toronto	ON 2005
Salloum	John	Toronto	ON 2006
Sandler	Tracy	Toronto	ON 1991
Sathananthan	Gajan	Toronto	ON2017
Schieman	D'Arcy	Toronto	ON 2002
Scott	Gillian	Toronto	ON 2003
Sebastiano	Rocco	Toronto	ON 1994
Shakra	Michael	Toronto	ON 2013
Sheeley	Mark	Toronto	ON 2014
Sheffield	Kai	Toronto	NY 2013, ON 2016
Sheridan	Christopher	Toronto	ON 2012
Silverson	Jack	Toronto	ON 1988, AB 1997
Sim	Janet	Toronto	ON 1982

**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

<b>Last Name</b>	<b>First Name</b>	<b>Office</b>	<b>Year of Call (Actual)</b>
Simon	Melanie	Toronto	ON 2017
Sindhwani	Shubham	Toronto	ON 2016
Smith	Steven	Toronto	ON 1982
Smith	Elliot	Toronto	ON 2008
Snyder	Matthew	Toronto	ON 2014
St. Aubin	Jeff	Toronto	ON 2014
Stamp	David	Toronto	ON 1992
Steele	Jana	Toronto	ON 1997, NB 2012
Stein	Lori	Toronto	ON 2001
Steiner	Joseph	Toronto	ON 1977, AB 1986
Stidwill	Sean	Toronto	ON 2016
Tatone	Daniel	Toronto	ON 2017
Thomas	Evan	Toronto	ON 2007
Tilak	Hemant	Toronto	ON 1987
Tomasich	Lauren	Toronto	ON 2008
Trachuk	Mark	Toronto	ON 1989, BC 1993, ENGLAND & WALES 1993
Trattner	Paula	Toronto	ON 1999
Tremblay	Richard	Toronto	ON 1979
Tsilivis	Louis	Toronto	ON 2015
Valentini	George	Toronto	ON1984
Valley	John	Toronto	ON 2005
Vaughan	Eleanor	Toronto	ON2017
Versteegh	Lucas	Toronto	ON 2017
Wainstein	Rebecca	Toronto	ON 2014
Wanford	Matthew	Toronto	ON 2011, AB 2008, ENGLAND & WALES ON 2000
Wasserman	Marc	Toronto	ON 2001
Watts	Michael	Toronto	ON 1991
Webster	Lee	Toronto	ON 1981
Wells	Allan	Toronto	ON 1996
Welsh	Patrick	Toronto	ON 2011
Whittam	Kevin	Toronto	ON 2013
Wiley	Blair	Toronto	ON 2008
Wong	Andrew	Toronto	ON 1995
Wong	Richard	Toronto	ON 1997, NY 2000
Wong	Daniel	Toronto	ON 2001
Wu	Jeremy (Chin Hei)	Toronto	ON 2017
Wylie	Gregory	Toronto	ON 1992
Xu	Corinne	Toronto	ON 2017
Yeung	Diana	Toronto	ON 2009, NY 2010
Yeung	Sandy	Toronto	ON 2003
Zalewski	Anna	Toronto	ON 2000
Zaman	Kashif	Toronto	ON 2002, NY 2002
Zilvytis	Kazimeras (Charlie)	Toronto	ON 2017
Bajwa	Danyal	Ottawa	ON 2017
Boban	Jaan	Ottawa	ON 2015, NS 2015
Coburn	Kentt	Ottawa	ON 2017
Cui	Hanrui	Ottawa	

**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

Last Name	First Name	Office	Year of Call (Actual)
Fong	Barry	Ottawa	ON 2010
Hosseini	Mahi	Ottawa	ON 2017
Konarski	Yulia	Ottawa	ON 2017
Kosseim	Patricia	Ottawa	
Langen	Geoffrey	Ottawa	ON 2006
Lunn	Faylene	Ottawa	ON 2012
MacDonald	Peter	Ottawa	ON 2003
Munroe	Natalie	Ottawa	ON 2009, NY 2004
Perrier	Camil	Ottawa	
Rai	Manvir	Ottawa	ON 2016
Sirois	Marie-Renee	Ottawa	ON 2008
Stiles	Rebecca	Ottawa	ON 2017
Sudbury	Jessica	Ottawa	ON 2017
Vandenberg	Edward	Ottawa	ON 1998, BC 1996
Wallace	Lillian	Ottawa	ON 2015
White	Donna	Ottawa	ON 1984
White	Brad	Ottawa	ON 1998
Wlodarczyk	Shaela	Ottawa	BC 2015
Wright	Craig	Ottawa	ON 1987
Zenicanin	Aljosa	Ottawa	ON 2017
Anderson	Robert	Calgary	AB 1980
Armstrong	Kelsey	Calgary	AB 2011
Bach	David	Calgary	AB 2015
Baines	Simon	Calgary	AB 2004
Barretto	Jeremy	Calgary	AB 2011
Bartlett	Colin	Calgary	AB 2017
Buckingham	Janice	Calgary	AB 1996
Carson	Lorne	Calgary	AB 1981
Damodar	Miju	Calgary	AB 2016
Denstedt	Shawn	Calgary	AB 1989, ON 1993
Der	Colena	Calgary	AB 2009
Desbarats	Robert	Calgary	AB 1976, ON 1976
Dixon	Olivia	Calgary	AB 2008
Duncanson	Alexander	Calgary	AB 2011
Feasby	Colin	Calgary	AB 1999
Fontaine	Justin	Calgary	AB 2017
Gaston	Melanie	Calgary	AB 2002
Gelbman	Tommy	Calgary	AB 2007
Goulet	Trevor	Calgary	AB 2013
Graham	Mark	Calgary	AB 2014
Gray	Kaitlin	Calgary	AB 2016
Grenon	Gerald	Calgary	AB1995
Grigoriu	Corinne	Calgary	AB 2012
Hamill	Catherine	Calgary	AB 2016
Harding	Brynne	Calgary	AB 2013
Housman	Rob	Calgary	AB 1997
Huys	Matthew	Calgary	AB 2012

**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

Last Name	First Name	Office	Year of Call (Actual)
Ignasiak	Martin	Calgary	AB 1999, NWT 2012
Kennedy	Jessica	Calgary	AB 2013
Killoran	Maureen	Calgary	AB 1995, ON 2001, BC 2015
Kolibar	Daniel	Calgary	AB 1989, ON 1993
Kustra	Bryce	Calgary	AB 2012
LaRoche	Adam	Calgary	AB 2016
Latterty	Justin	Calgary	AB 2018
Lehodey	Robert	Calgary	AB 1983
Liu	Lilly(Wen)	Calgary	AB 2014
Mallett	Tristram	Calgary	AB 1997, ON 1989
McDonald	Jon	Calgary	AB 2017
Medeiros	Nelson	Calgary	AB 2015
Olexiuk	Paula	Calgary	AB 2000
Osaka	Kelly	Calgary	AB 2007, ON 2006
Paplawski	Emily	Calgary	AB 2013
Parker	Shaun	Calgary	AB 2012
Prince	Tamara	Calgary	AB 2006, SK 2003
Rigolo	Damian	Calgary	AB 2007, ON 1997
Ross	Neal	Calgary	AB 2002, SK 2001
Rowe	Edward	Calgary	AB 1996, ON 2001
Saric	Dana	Calgary	AB 2011
Schappert	Taylor	Calgary	AB 2014
Schepp	Cameron	Calgary	AB 2003
Sherman	Justin	Calgary	AB 2011
St. John	Rachel	Calgary	NY 2008, ON 2010, AB 2010
Sutherland	Sean	Calgary	AB 2014
Tapuska	Edward	Calgary	AB 1987
Thiessen	Brian	Calgary	AB 1998
Thiessen	Ted	Calgary	AB 2005
Turner	Frank	Calgary	AB 2002, ON 1993
Van de Mosselaer	Randal	Calgary	AB 1995, SK 1993
Vandale	Joanne	Calgary	AB 2005
White	Nathan	Calgary	BC2017
Whyte	Andrea	Calgary	AB 2000
Wong	Heidi	Calgary	AB 2006
Zuniga	Daniel	Calgary	AB 2013
Budabin McQuown	Michael	New York	NY 2010
Chai	Jie	New York	NY 2014
Colan	Kevin	New York	NY 2001
Comerford	Jason	New York	NY 2001, ON 1999
Geng	Julie	New York	NY 2014
Hardy	David	New York	NY 1976
Herr	Andrew	New York	NY 2000, ON 1997, SUPREME COUR OF ENGLAND & WALES 2001
Kushner	Marc	New York	NY 1989, NEW JERSEY 1989 , DISTRICT OF COLUMBIA 1990, MAINE 1990
Lando	Robert	New York	NY 1991, ON 1991



**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

Last Name	First Name	Office	Year of Call (Actual)
Lee	Jennifer	New York	NY 2010
Morse	Alix	New York	ON 2013
Mulroy	Jillian	New York	ON 2016
Seraganian	Paul	New York	NY 2001
Sherman	Michael	New York	ON 2017
Whitaker	Nathan	New York	NY 2008
Wright	Ramin	New York	NY 2015
Abitan	Sandra	Montréal	QC 1990
Amram	Raphael	Montréal	QC 2010, ON 2011
Amyot	Sophie	Montréal	QC 2013
Apedaile	Timothy	Montréal	QC 2017
Arendas	Mikulas	Montréal	QC 2013
Beauregard	Nathalie	Montréal	QC 1989, NY 1989
Benoît	Fabrice	Montréal	QC 1995
Besner	Yan	Montréal	QC 2003
Brender	Mark	Montréal	QC 1990
Brisset	Jeremy	Montréal	QC 2011
Burdon	Geneviève	Montréal	QC 2012, ON 2012
Cavaliere	Chloe	Montréal	QB 2015
Cloutier	Nicole	Montréal	QC 1985
Cohen	Samuel	Montréal	QC 1996
Corona	Marisa	Montréal	QC 2017
Desrosiers	Martin	Montréal	QC 1983
Dionne	Jean-Philippe	Montréal	QC 2017
Fallon	Alexandre	Montréal	QC 2010, ON 2009
Fournier	Alain	Montréal	QC 2003
Gagnon	Hugo-Pierre	Montréal	QC 2006
Gauthier	Bastien	Montréal	QC 2006
Gould	Natasha	Montréal	QC 2014
Guindi	Shahir	Montréal	QC 1990, NY 1990
Harding	Jessica	Montréal	QC 2013
Horwood	Frederique	Montréal	QC 2017
Hynes-Gagné	Julien	Montréal	QC 2015
Jodoin	Christiane	Montréal	ON 1984
Laurin-Pratte	François	Montréal	ON 2015, QC 2015
Leung	Calvin	Montréal	QC 2015
Levy	Eric	Montréal	QC 1996
Lussier	Sylvain	Montréal	QC 1978
Massicotte	Etienne	Montréal	QC 1988
Meloche	Elizabeth	Montréal	QC 2005
Morissette	Julien	Montréal	QC 2009, ON 2009
Paradis	François	Montréal	QC 2003
Plamondon	Frederic	Montréal	QC 2013
Préfontaine	Éric	Montréal	QC 2001
Raizenne	Robert	Montréal	QC 1981, ON 1997
Ranger-Musiol	Julien	Montréal	QC 2006, ON 2007

**Osler Hoskin Harcourt LLP**  
**CLLAS - Listing of Lawyers as of March 1, 2018**

<b>Last Name</b>	<b>First Name</b>	<b>Office</b>	<b>Year of Call (Actual)</b>
Roy	Marc	Montréal	QC 2017
Savage	Sebastien	Montréal	QC 1998
Schein	Laura	Montréal	QC 2014
Stébenne	Antoine	Montréal	QC 1998
Thivierge	Manon	Montréal	QC 1986
Troulis	Constantine	Montréal	QC 1987
Ubani	Chima	Montréal	QC 2008
Veilleux	Niko	Montréal	QC 2016, NY 2001
Wetherly	Caitlin	Montréal	QC 2015
Wilson	David	Montréal	QC 2014
Yalden	Robert	Montréal	QC 1992, ON 1991
Davidson	Shannon	Vancouver	AB 2016
DuPlessis	Jacques	Vancouver	BC 2013
Hutchison	Alan	Vancouver	BC 2001
Jacyk	David	Vancouver	BC 2007, MB 1993
Longo	Mark	Vancouver	ON 1994
Martindale	Martha	Vancouver	BC 2004 , AB 2018
Ross	David	Vancouver	BC 2012
Rothstein	Marshall	Vancouver	BC 1966
Scott	Trevor	Vancouver	BC 1998
Sharlow	Karen	Vancouver	BC 1982
Sullivan	Patrick	Vancouver	BC 2016, ON 2016
Webb	Laura	Vancouver	ON 2012
Young	Jacob	Vancouver	AB 2016
Young	Justin	Vancouver	BC 2010, Ont 2003
Zook	Marissa	Vancouver	AB 2015

APPENDIX C  
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

Name of Firm: Osler, Hoskin & Harcourt LLP

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
Consulting related to Privacy Matters	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: N/A

Insurance Carrier: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Period of Insurance: \_\_\_\_\_

Retroactive Date: \_\_\_\_\_

Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

Type of Exposure: \_\_\_\_\_

Insurance Carrier: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Period of Insurance: \_\_\_\_\_

Retroactive Date: \_\_\_\_\_

Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

## APPENDIX D

### "ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

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Name of Firm: Osler, Hoskin & Harcourt LLP

- The Named Insured under the CLLAS policies is Osler, Hoskin & Harcourt LLP with Osler, Hoskin & Harcourt, a U.K. organized partnership with former offices in London (UK), Hong Kong and Singapore being added as an additional Named Insured.
- Osler, Hoskin & Harcourt merged with Herridge, Tolmie on February 1, 1986, MacDonald & Ferrier on July 1, 1986 and Rogers, Rogers, Moore on March 1, 1988.
- The Firm has Canadian offices in Toronto, Ottawa, Montreal, Calgary, Vancouver and in New York City (through a separate State of New York organized partnership of the same name).
- The Firm formed an international partnership on January 1, 1991, known as Osler Renault Ladner, with Ogilvy Renault of Montreal and Ladner Downs of Vancouver which provided legal services to both Canadian clients with interests in the United States, Europe and the Far East and clients based in such areas doing business in Canada.
- Effective December 31, 1993, Ladner Downs withdrew and the partnership continued under the name of Osler Renault.
- Effective March 31, 1996, Ogilvy Renault ceased to be a partner of Osler Renault.
- Effective April 1, 1996, Osler Renault changed its name to Osler, Hoskin & Harcourt and the former offices of Osler Renault in London, Hong Kong and Singapore became offices of Osler, Hoskin & Harcourt, the U.K. organized partnership. The Singapore, Hong Kong and London offices were closed on December 31, 1996, June 30, 1998 and July 31, 1999 respectively.
- There is an endorsement on the policy with respect to Osler Renault Ladner and Osler Renault to cover possible claims relating to the period during which the partnerships were in operation.
- Coverage is also provided to the predecessor firms to Osler, Hoskin & Harcourt, namely, Herridge, Tolmie, MacDonald & Ferrier and Rogers, Rogers, Moore.

## APPENDIX E

### PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Osler, Hoskin & Harcourt LLP

#### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Lynne Lacoursière	Toronto	35%
Niko Veilleux	Montréal	10-15%

#### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

#### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
New York City	0	11	5
** Please note that one of the New York lawyers (Kevin Colan) is physically working from Nova Scotia.			

#### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure:	Lawyers Professional Liability Insurance
Insurance Carrier:	Columbia Casualty (25% LEAD), Nautilus (25%), Indian Harbor (16.5%), Axis Surplus (18.5%), Underwriters at Lloyds, London (15%)
Policy Number:	592420510 - LEAD
Period of Insurance:	July 1, 2017 to July 1, 2018
Retroactive Date:	
Limits:	\$ 30,000,000 per claim, \$ 30,000,000 annual aggregate
Type of Exposure:	N/A
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limits:	\$ per claim, \$ annual aggregate
Type of Exposure:	N/A
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limits:	\$ per claim, \$ annual aggregate
Type of Exposure:	N/A
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limits:	\$ per claim, \$ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2017

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Name of Firm: Osler, Hoskin & Harcourt LLP

Already on file.

Reviewed - NJI

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)  
Open and Closed Claims Report  
Osler, Hoskin & Harcourt LLP  
As at December 31, 2017

							CLLAS					Law Society				
Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1988-035	PHYLLIS D. McDOWELL	TRAVELERS CANADA	1988-03-31	1988-02-01	1990-04-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1988-036	JUERGEN F. SAGEL	MS. NOVAK	1987-12-01	1987-10-01	1989-02-01		\$0	\$0	\$0	\$0	\$0	\$18,165	\$0	\$0	\$0	\$18,165
CLLAS1988-037	KAREN G. WRISTEN	MITCHELL VS. PETRO CANADA	1988-05-06	1988-02-01	1989-06-01		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1988-053	JULY LEE	AMAX INC.	1988-04-01	1987-01-01	1990-01-15		\$0	\$0	\$0	\$0	\$0	\$0	\$10,820	\$0	\$0	\$10,820
CLLAS1989-006	JAMES G. FOGO	THE DISSTON CO.	1988-11-01	1988-07-01	1991-02-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1989-032	JOHN D. COYNE	NUNSA 23 LIMITED	1989-06-30	1988-12-31	1995-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$5,506	\$0	\$0	\$5,506
CLLAS1989-033	DAVID T. TETREAULT	TRIDEL CORP.	1989-06-18	1988-06-15	1992-03-01		\$0	\$0	\$0	\$0	\$0	\$0	\$1,828	\$0	\$0	\$1,828
CLLAS1989-040	MARA NICKERSON	ALLAN SUSSMAN	1989-05-01	1985-02-01	1989-07-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$867	\$0	\$0	\$867
CLLAS1989-043	Frederick L. Myers	DIXIE LEE FOOD SYSTEM	1989-05-01	1988-11-01	1989-06-01		\$0	\$0	\$0	\$0	\$0	\$4,000	\$0	\$0	\$0	\$4,000
CLLAS1989-073	ANTHONY O. HENDRIE	RT PENSION PROPERTIES	1989-06-01	1986-06-01	1991-11-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,049	\$0	\$0	\$2,049
CLLAS1989-074	JENNIFER PARKIN	COMMERCIAL CAPITAL	1989-06-01	1988-06-01	1989-09-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$706	\$0	\$0	\$706
CLLAS1989-076	WILLIAM P. ROGERS	ROSE MARIE LAMONT	1989-06-30	1988-01-31	1994-08-31		\$0	\$0	\$0	\$0	\$0	\$26,000	\$13,643	\$0	\$0	\$39,643
CLLAS1989-077	JOHN F. PETCH	INTERACTIVE ENTERTAIN	1989-06-01	1989-05-01	1990-01-15	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,091	\$0	\$0	\$1,091
CLLAS1990-014	PETER DEY	CANADIAN HELICOPTER CO.	1989-11-01	1987-02-01	1991-04-01	CPF	\$0	\$0	\$0	\$0	\$0	\$305,000	\$32,134	\$0	\$0	\$337,134
CLLAS1990-016	DAVID AITKEN	IRWIN TOY LIMITED	1989-11-01	1989-09-01	1991-05-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,551	\$0	\$0	\$3,551
CLLAS1990-029	Christopher Portner	MULTIMET CANADA INC.	1990-01-12	1989-03-29	1990-09-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1990-044	JAMES G. FOGO	C.D. SEARLE & CO.	1990-03-20	1989-09-26	1990-09-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$591	\$0	\$0	\$591
CLLAS1990-048	CAROLINE M.L THOMAS	CARGILL GRAIN	1990-04-30	1989-12-31	1996-01-10		\$0	\$0	\$0	\$0	\$0	\$0	\$10,084	\$0	\$0	\$10,084



As at December 31, 2017

[illegible]

As at December 31, 2017

[illegible]

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Osler, Hoskin & Harcourt LLP

As at December 31, 2017

							CLLAS					Law Society				
Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1991-120	JAMES M. NEWLAND	LAUREEN BURSEY	1991-06-28	1990-09-30	1995-02-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,676
CLLAS1991-121	R. GREGORY LAING	SANWA BUSINESS ET AL (DOW/NORR/U.S. RED)	1991-06-28	1991-06-22	1993-09-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$6,264	\$0	\$0	\$6,264
CLLAS1991-122	GARY J. MATALON	SOVEREIGN LIFE	1991-06-30	1991-06-30	1998-10-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$10,117	\$0	\$0	\$12,841
CLLAS1991-123	JOHN D. COYNE	KOURI HOLDINGS LTD./ENVIROBOND LTD.	1991-06-14	1990-04-19	1993-06-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-152	EDWARD A. HEAKES	GENERAL ELECTRIC CREDIT CORPORATION	1991-05-31	1989-12-31	1994-09-13	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,431	\$0	\$0	\$1,431
CLLAS1992-010	PETER WHITE JR.	Toronto-Dominion Bank	1991-08-16	1983-03-21	1991-09-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-013	TERRENCE BURGOYNE	PUROLATOR COURIER LTD./PCL COURIER HOLDINGS INC.	1991-09-05	1987-04-01	1993-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,169	\$0	\$0	\$2,169
CLLAS1992-026	STEVEN J. TRUMPER	PENNY FUELS INC.	1991-10-23	1989-03-01	1991-04-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$11,684	\$0	\$0	\$11,684
CLLAS1992-037	JOSEPH M. STEINER	PINK PANTHER FOODS	1991-11-30	1991-04-30	1995-02-09	ECS	\$0	\$0	\$0	\$0	\$0	\$10,000	\$23,039	\$0	\$0	\$33,039
CLLAS1992-056	T.B.A. T.B.A.	TRITEN CORPORATION	1991-12-01	1990-09-01	1992-06-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-068	DONALD WAKEFIELD	647749 ONTARIO LIMITED	1991-12-23	1987-04-16	1992-09-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,669	\$0	\$0	\$3,669
CLLAS1992-074	MICHAEL J. DAVIES	PETER WARMINGTON	1992-02-11	1991-03-01	1994-03-08	SNC	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$956
CLLAS1992-078	ELIZABETH FISHER	Toronto Dominion Bank	1992-02-06	1987-02-01	1993-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-088	MICHAELS DAVIES	PRUDENTIAL INSURANCE CO. of CANADA	1992-02-18	1991-12-01	1994-03-07	CPF	\$0	\$0	\$0	\$0	\$0	\$150,000	\$39,676	\$0	\$0	\$198,389

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Osler, Hoskin & Harcourt LLP

As at December 31, 2017

							CLLAS					Law Society				
Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1992-089	BRIAN BUCKNALL	ESTATE OF HELEN BEATRICE AUGUSTA REAVES	1992-02-17	1991-12-01	1993-01-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-104	MILLS MRAZ	LAURENTIAN BANK OF CANADA	1992-03-09	1991-11-01	1992-04-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-105	STEPHEN V. ARNOLD	INTERMEX INC.	1992-03-09	1991-03-26	1993-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-120	ELIZABETH FISHER	Toronto Dominion Bank	1992-02-24	1987-02-01	1993-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-121	Frank Zaid	TRIDON	1992-05-31	1992-07-31	1995-01-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$424	\$0	\$0	\$424
CLLAS1992-148	JOHN GOODWIN	DONALD WEBSTER	1992-06-15	1988-12-24	1993-10-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-003	JAMES F. KENNEDY	DELCAN CORPORATION	1992-07-29	1991-03-28	1994-02-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$24,363	\$0	\$0	\$24,363
CLLAS1993-030	Heather Griffiths	Rex and Joanne McLennan	1992-11-19	1991-08-01	1993-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-031	George Valentini	Royal Trust Corp. of Canada	1992-11-30	1988-07-31	1997-05-28		\$0	\$0	\$0	\$0	\$0	\$0	\$3,062	\$0	\$0	\$3,978
CLLAS1993-049	MILLS MRAZ	MONTREAL TRUST CO. OF CANADA	1992-12-31	1985-11-30	1994-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,625	\$0	\$0	\$2,448
CLLAS1993-053	NORMAN LOVELAND	TRILAN ONTARIO LTD. (TRIDEL GROUP OF COMPANIES)	1992-12-01	1988-08-05	1993-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,006	\$0	\$0	\$1,006
CLLAS1993-063	STEPHEN SIGURDSON	Dan Goliger/889849 Ontario Inc.	1993-01-28	1992-10-04	1993-12-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-081	MARK BAIN	ROYAL BANK/MEGAVENTURES	1992-12-07	1990-06-01	1993-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-106	MICHAEL J. DAVIES	Royal Bank of Canada	1993-02-28	1991-11-01	1993-08-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$4,409	\$0	\$0	\$4,409
CLLAS1993-109	LAURIE GALWAY	GORMLEY AND SULLIVAN FILMS INC.	1993-05-31	1993-05-31	1994-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,843	\$0	\$0	\$3,843

As at December 31, 2017

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As at December 31, 2017

[illegible]

As at December 31, 2017

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## As at December 31, 2017

[illegible]



As at December 31, 2017

[illegible]

As at December 31, 2017

[illegible]

As at December 31, 2017

[illegible]

As at December 31, 2017

[illegible]

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Osler, Hoskin & Harcourt LLP

As at December 31, 2017

							CLLAS					Law Society				
							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS2000-092	David Bannon	Georgian Aggregates & Construction Inc.	2000-03-14	1999-04-01	2002-09-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-097	Kelly James Harbridge	Mississauga Golf & Country Club Limited	2000-03-09	1998-09-18	2000-10-05	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-105	David W. Aitken	1149336 Ontario Inc./Pennie & Edmonds LLP	2000-04-20	1998-05-04	2001-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-133	G. Lee/John Muirhead/Hov	Compulife Software Inc.	2000-06-14	2000-04-07	2000-11-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-145	Scott Scheuermann	Sweet Ripe Drinks Inc.	2000-06-21	2000-06-01	2000-07-20	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-010	BRIAN BUCKNALL	Loblaw Properties Limited	2000-08-10	1996-12-01	2001-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,921	\$0	\$0	\$2,921
CLLAS2001-016	Ian McSweeney	Falconbridge Limited	2000-09-08	1986-01-31	2000-09-18	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-028	John M. Roland	Joel Vale	2000-10-26	1995-05-01	2004-01-27		\$0	\$0	\$0	\$0	\$0	\$800,000	\$141,932	\$0	\$0	\$941,932
CLLAS2001-031	Norman C. Loveland	654329 Ontario Limited	2000-11-16	1995-01-31	2002-06-28		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-037	Eden Oliver	United Keno Hill Mines Limited	2000-11-27	1995-09-29	2001-03-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-069	H.B. Clay Horner	BCE Inc., Bell ActiMedia Inc.	2001-01-24	2001-01-09	2001-07-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-078	Tim Schumacher	Procter & Gamble	2001-02-13	1997-08-27	2001-09-25	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$567	\$0	\$0	\$567
CLLAS2001-120	Cheryl Emberley	Steve Lumb	2001-05-04		2001-05-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-134	Paul Starkman	Dana Bruhand et al	2001-05-24	1998-07-13	2002-01-18		\$0	\$0	\$0	\$0	\$0	\$0	\$5,223	\$0	\$0	\$5,223
CLLAS2001-142	Carla Swanburg	Stanley Magic-Door, Dvision of Stanley Canada Inc.	2001-06-05	2001-05-04	2001-07-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-001	GEORGE M. VALENTINI	5379844 Ontario Inc.	2001-07-09	1998-09-16	2001-10-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,318	\$0	\$0	\$3,318
CLLAS2002-003	David Elliot	Reb.com (Mark Goudie, Bryan Smith et al)	2001-07-18	2001-05-03	2001-10-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,361	\$0	\$0	\$3,361

As at December 31, 2017

[illegible]

As at December 31, 2017

[illegible]

As at December 31, 2017

[illegible]



## As at December 31, 2017

[illegible]

As at December 31, 2017

[illegible]

As at December 31, 2017

[illegible]

## As at December 31, 2017

[illegible]

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Osler, Hoskin & Harcourt LLP

As at December 31, 2017

							CLLAS					Law Society				
Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS2015-091	Victoria Graham	Owai Hashmi / ECC Acquisition Inc.	2015-03-13	2015-01-14	2015-04-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-124	George Valentini	Dream Asset Management	2015-06-11	2012-09-06	2015-10-22	SIR	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-134	J. Bradley White	Cook Alex Ltd.,Hendrickson International Corporation	2015-06-29	2015-01-09	2016-01-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-137	Ian MacGregor	Alcatel Lucent	2015-06-30	2015-03-09			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-051	Roger Gillott	Canada Bread Company Limited	2016-11-08	2015-06-26			\$0	\$0	\$0	\$0	\$0	\$0	\$9,279	\$50,000	\$25,720	\$84,999
CLLAS2017-068	John Leddy	Manulife Financial Corporation	2016-12-15				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-099	Ian MacGregor	Canadian Humanitarian Trust Donation Program	2017-02-03	2015-02-24	2017-10-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-149	Joyce Bernasek	Chemtrade Logistics Inc.	2017-05-31	2017-05-08			\$0	\$0	\$0	\$0	\$0	\$0	\$5,654	\$0	\$54,346	\$60,000
Totals							\$10,640,930	\$1,078,892	\$0	\$0	\$10,961,201	\$5,609,240	\$2,980,471	\$50,000	\$330,066	\$9,034,593

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

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Name of Firm: Osler, Hoskin & Harcourt LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

See attached.

## **Osler, Hoskin & Harcourt LLP**

### **Errors and Omissions – Risk Management**

Osler, Hoskin & Harcourt LLP manages the risk of errors and omissions on the part of its legal professionals through a number of different initiatives:

#### **Responsibility for Professional Liability Risk Management**

The Firm has a General Counsel who has overall responsibility for managing and dealing with risk management, ethical and professional responsibility issues. In addition there is an Ethics & Conflicts Committee, chaired by the GC that is responsible for making decisions on potential conflicts and developing our processes and procedures relating to the management of ethical walls. The Committee also has general oversight and administration of the Firm's policies on confidentiality and insider trading.

The Firm also has appointed a partner to be responsible for all E&O matters. Such partner is consulted on and monitors all claims and possible claims against the Firm and reviews all filings made in respect of any claims. The partner also attends various sessions dealing with risk management put on by CLLAS and other external organizations.

#### **Risk Management Policies and Procedures**

The Firm has an umbrella Quality Assurance Policy setting out general standards and expectations and a number of other policies to address specific areas of risk. These other policies are:

- Policy on Engagement Letter and Client Service Terms
- Policy on Rendering Legal Opinions
- Procedures relating to conflicts
- Policy on Acting as Directors, Officers, Trustees or Business Persons
- Policy on Insider trading
- Confidentiality Policy
- Policy for Reporting Violations of the Law and Breaches of Duty (Up the ladder reporting)
- Errors and Omissions Policy
- Policy on Internet and Email Use
- Policy on Ethical Walls

The Firm conducts an annual certification process, requiring all legal professionals and staff to confirm that they have complied with the key quality assurance policies during the past year.

#### **Work Intake**

The Firm has a Client Screening policy and has appointed 2 partners as Gatekeepers to administer the policy. Gatekeeper approval must be obtained for all new clients and for certain new matters.

The Firm has a rigorous conflicts system to help identify any potential client conflicts before new clients, new matters or new lawyers are taken on with the Firm.

The Firm has a set of standard Client Service Terms, required to be provided to all clients. The Terms address common service terms. In addition, an engagement letter is required to be sent out to clients on the opening of all new matters, dealing with the specific terms of engagement for the particular matter.

The Firm's Billing Management Policy identifies the circumstances in which a monetary retainer is required to be obtained from a client.

### **Communication and Training on Risk Management**

The Firm periodically holds firm-wide mandatory training sessions for lawyers and staff on various risk management issues. All of the policies are on the Firm intranet, fully searchable, and available by topic and by role.

Training sessions are held for in-coming articling students and summer students each year, and a refresher session is held with new first year associates when they return in September of each year.

All new staff are provided with copies of all relevant policies when they arrive and are directed to the supplemental resources available on the intranet.

The Firm has also introduced a training program for new partners on risk management. This training program is held annually.

### **General Training**

The Firm has a full-time former practising lawyer in the role of Chief Knowledge Officer. The CKO is responsible for providing on-going continuing legal education for all legal professionals, in all offices. A broad range of substantive legal programs are provided in-house on a regular basis. The Firm is an Accredited Provider for professional development programs in Ontario, Quebec, B.C. and New York. Lawyers also attend continuing legal education programs provided by external organizations, including the local law societies, the local Canadian Bar Association organization (e.g. the Ontario Bar Association), Insight, Federated Press and others.

Lawyers are required to track their own continuing professional development, but the Firm has put systems in place to assist them to ensure they are meeting their mandatory requirements..

The Firm also regularly provides practice-oriented programs, including programs on:

- researching skills
- the rules of professional conduct
- trust accounting
- time and practice management
- docketing
- negotiations
- presentation and communication skills.



In addition to formal programs, each department and practice group holds regular meetings of all members to discuss developments in the law, practice points and other issues that help ensure legal professionals keep up to date in their areas of practice.

### **Resources**

The Firm has an extensive Law Library, with “branches” in each office. The Library provides access to an extensive collection of legal resources both in hard copy and on-line.

Each department and practice group has identified case reports and digests, journals, newsletters and other periodicals relevant to its practice area which are circulated regularly to all members of the department to assist them in keeping up to date in developments in their areas.

Each department has developed model precedents and checklist to assist lawyers in preparing up-to-date documents and effectively managing files and transactions. Each department also collects and shares sample documents to provide lawyers with current information on industry practices and trends. The Firm also subscribes to various external precedent resources.

### **Supervision**

A responsible partner is assigned to each client file. The work of all articling students and associates is supervised by a more senior lawyer, to the extent appropriate in each circumstance. All students and associates are encouraged to ask questions whenever they are unsure of their instructions or direction.

### **Tickler System**

The Firm uses a centralized tickler system to keep track of limitation periods and other critical dates. The IP department uses InProtech to track critical dates.

### **Practice Management Guidelines**

The Ontario Law Society has produced practice management guidelines to assist lawyers and law firms in assessing, maintaining and enhancing their quality of service. The Firm has reviewed these Guidelines against the Firm’s practices, procedures and resources.

### **Personal Management**

The Firm has an employee assistance program available to all legal professionals to help them deal with personal or work-related stress. The Firm subsidizes membership at local fitness organizations for students and associate lawyers to assist them in gaining a balanced lifestyle and deal with stress.

### **Administrative Support**

The Firm has well organized and operated administrative departments, under the direction of subject area professionals, to provide support and procedures in their area, including Records Management, Finance & Accounting, Human Resources, and Marketing and Client Development.

March, 2018

**APPENDIX H**  
**CYBER LIABILITY**

---

Name of Firm: Osler, Hoskin & Harcourt LLP

**1. Personnel**

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

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- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

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**2. Protection**

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?  
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☐ Data at rest  
☒ Data in transit  
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)  
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?  
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☒ yes ☐ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☒ yes ☐ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

### 3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☐ yes ☐ no

### 4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches?  
☐ yes ☒ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks?  
☐ yes ☒ no

APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND  
EXEMPTION FORM SUBMITTED TO LAWPRO

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Name of Firm: Osler, Hoskin & Harcourt LLP

See attached.

# Osler Hoskin Harcourt LLP

## 2018 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
Mallett	Tristram	Calgary	29582H
Osaka	Kelly	Calgary	52636B
Rigolo	Damian	Calgary	39250B
Rowe	Edward	Calgary	45314I
St. John	Rachel	Calgary	57925N
Turner	Frank	Calgary	34920J
Fallon	Alex	Montréal	56525T
Levitt	Brian	Montréal	14864L
Morissette	Julien	Montréal	56352K
Raizenne	Robert	Montréal	38799S
Ranger-Musiol	Julien	Montréal	54513L
Yalden	Robert	Montréal	32118S
Comerford	Jason	New York	41847W
Herr	Andrew	New York	39095A
Lando	Rob	New York	32321U
Morse	Alix	New York	64532K
Mulroy	Jullian	New York	70076G
Bajwa	Danyal	Ottawa	71862F
Boban	Jaan	Ottawa	69028F
Coburn	Kentt	Ottawa	71903E
Fong	Barry	Ottawa	59157U
Hosseini	Mahi	Ottawa	73516C
Konarski	Yulia	Ottawa	71969N
Langen	Geoffrey	Ottawa	51973R
Lovell	Heather	Ottawa	68167K
Lunn	Faylene	Ottawa	62569L
MacDonald	Peter	Ottawa	47860M
MacGregor	Ian	Ottawa	15700P
Munroe	Natalie	Ottawa	56290E
Rai	Manvir	Ottawa	71035W
Sirois	Marie-Renee	Ottawa	55006U
Stiles	Rebecca	Ottawa	72074N
Sudbury	Jessica	Ottawa	72075J
Vandenberg	Edward	Ottawa	40319C
Wallace	Lillian	Ottawa	67641I
White	Donna	Ottawa	24453W
White	Brad	Ottawa	40306U
Wlodarczyk (Rae)	Shaela	Ottawa	73734B
Wright	Craig	Ottawa	27168N
Zenicanin	Aljosa	Ottawa	72109C
Abbott	Mary	Toronto	40320J
Aboud	Malcolm	Toronto	64298D
Ahmed	Firoz	Toronto	26439J
Allen	Jacqueline	Toronto	62089D
Anderson	Brett	Toronto	62453F
Anton	Robert	Toronto	61757R

# Osler Hoskin Harcourt LLP

## 2018 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
Ashton	Courtney	Toronto	58195Q
Auron	James	Toronto	68003D
Austin	Mark	Toronto	42421R
Aziz	Andrew	Toronto	28334P
Barnes	Lyndon	Toronto	13350D
Barrett	Laurie	Toronto	21942S
Barz	Even	Toronto	73125R
Bayne	Chad	Toronto	46269C
Beale	Alexis	Toronto	65902W
Beaumont	Robert	Toronto	26084Q
Begun	Dov	Toronto	35765T
Bennett	Chris	Toronto	44088P
Bernasek	Joyce	Toronto	48004I
Bhatia	Sanjana	Toronto	36332I
Binions	Blake	Toronto	68013U
Biringer	Monica	Toronto	25702O
Bjorkquist	Sonia	Toronto	38973L
Black	John	Toronto	35781A
Blom	R. Johanna	Toronto	48827T
Borins	Richard	Toronto	37010A
Bowman	Michael	Toronto	22976S
Boyd	Andrew	Toronto	66200T
Brown	James	Toronto	55774I
Bruschetta	Lia	Toronto	60041O
Bryce	Doug	Toronto	39364P
Burgess	Jeremy	Toronto	68028R
Burgoyne	TerrenceR	Toronto	22990H
Callaghan	Patrick	Toronto	36649N
Calvaruso	Martino	Toronto	57359Q
Cao	Taylor	Toronto	72497S
Carson	RobertA	Toronto	57364H
Cheng	May	Toronto	33885V
Chin	Natasha	Toronto	72167U
Choi	Eric	Toronto	67705G
Chong	Janet	Toronto	58543O
Clinton	James	Toronto	73425K
Clutterbuck	Alyssa	Toronto	71902I
Cobb	Alexander	Toronto	45363F
Code	Jacqueline	Toronto	40398E
Coleman	Allan	Toronto	42007W
Cotric	Vanessa	Toronto	65845T
Cotter	John	Toronto	28378V
Creighton	Victoria	Toronto	57384S
Cymbalisty	Shawn	Toronto	65468M
Dacks	Jeremy	Toronto	41851R
Dattu	Riyaz	Toronto	23665A

# Osler Hoskin Harcourt LLP

## 2018 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
Davachi	David	Toronto	58867C
Davidge	Rod	Toronto	43454V
Dawson	Douglass	Toronto	68388U
de Grandpré	Vincent	Toronto	47452E
De Lellis	Michael	Toronto	48038U
D'Elia	Caroline	Toronto	46808F
Deol	Sandeep	Toronto	63614Q
DesLauriers	Mark	Toronto	23022E
Devir	Anthony	Toronto	34234L
Dharamdial	Justin	Toronto	63997S
Di Cesare	Allison	Toronto	68060C
Di Cresce	Stella	Toronto	51008O
Dickie	Steven	Toronto	60411P
Disenhouse	Joshua	Toronto	66256L
Dolman	Jennifer	Toronto	32213F
Donald	Danna	Toronto	49538E
Emakpor	Tobor	Toronto	56075Q
Erickson	Justine	Toronto	70876S
Fairfax	Jennifer	Toronto	47621G
Fekete	Michael	Toronto	34656U
Feng	Kevin (Yan)	Toronto	72208T
Fidler	Carly	Toronto	60093W
Fine	Joanna	Toronto	52830F
Fineblit	Joshua	Toronto	72865E
Fraiberg	Jeremy	Toronto	43472R
Franklyn	Peter	Toronto	24049V
Fraser	Jean	Toronto	16773S
Fric	Laura	Toronto	36545Q
Frith	Andraya	Toronto	40441G
Fullerton	Richard	Toronto	40442C
Gaston	Melissa	Toronto	41012C
Gelowitz	Mark	Toronto	31857J
Gilchrist	Donald	Toronto	24968P
Gillott	Roger	Toronto	37816L
Gilmour	Emily	Toronto	70299I
Gleason-Mercier	Catherine	Toronto	64400A
Glendinning	Deborah	Toronto	31070N
Glossop	Peter	Toronto	26194A
Gokhale	Sony	Toronto	54280L
Gonzalez	Jessica	Toronto	57869G
Gorka	Alexander	Toronto	58582R
Graham	Victoria	Toronto	42842H
Grantmyre	Michael	Toronto	62516H
Gray	Brian	Toronto	58910E
Greenspoon	Jay	Toronto	58914L
Groenewegen	John	Toronto	39084K

# Osler Hoskin Harcourt LLP

## 2018 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
Gross	Wendy	Toronto	34291K
Grove	Geoffrey	Toronto	56787B
Hall-McGuire	Rebecca	Toronto	68430D
Hansford	Vanessa	Toronto	59814J
Hanson	Jason	Toronto	21688A
Harper	Lauren	Toronto	70606L
Hart	Michael	Toronto	36723Q
Hartog	Adrian	Toronto	24079D
Hay	Sandy	Toronto	72242T
Heale	Amanda	Toronto	51528V
Heard	Joel	Toronto	35905M
Hincer	Ilkim	Toronto	39483U
Hirsh	Adam	Toronto	55239Q
Hodgett	Simon	Toronto	33292S
Horner	Clay	Toronto	26231P
Hughes	Timothy	Toronto	42885R
Hunnisett	Geoffrey	Toronto	57138G
Hunter	Rosalind	Toronto	52558O
Ibrahim	Aatifa	Toronto	71582A
Innes	Michael	Toronto	44578R
Ip	Sam	Toronto	65550R
Irving	Shawn	Toronto	50035U
Irwin	Andrew	Toronto	70922I
Ivanoff	Paul	Toronto	34315J
Jackson	Christine	Toronto	60472V
Jamal	Mahmud	Toronto	38632A
Jamieson	David	Toronto	60135R
Kaplan	Arielle	Toronto	70627S
Kardash	Adam	Toronto	35929H
Kenigsberg	Alan	Toronto	44590O
Khazam	Robert	Toronto	68134R
King	Richard	Toronto	38297H
Kingdom	Hannah	Toronto	67815N
Kingissepp	Andrew	Toronto	23758H
Kirsh	Marissa	Toronto	65954H
Kirsh	Trevor	Toronto	69145S
Klyguine	Alex	Toronto	65957S
Kotschorek	Paul	Toronto	44599B
Kuzma	Kaeleigh	Toronto	55271B
Lacoursiere	Lynne	Toronto	63729D
Lally	Michelle	Toronto	33337B
Lam	Joshua	Toronto	58966T
Law	Tara	Toronto	55278T
Ledger	Brett	Toronto	18851E
Lee	Desmond	Toronto	40912Q
Lefcoe	Lauren	Toronto	65596P



# Osler Hoskin Harcourt LLP

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Last Name	First Name	Office	LSUC #
Leith	Simon	Toronto	64481R
Lem	Megan	Toronto	70039S
Leung	Kevin	Toronto	70363R
Lin	Jeremy	Toronto	73252B
Lipkus	Nathaniel	Toronto	53761E
Litner	Paul	Toronto	31161F
Liu	Ted	Toronto	55289J
Livshitz	Natalie	Toronto	70961L
Lockhart	Andrea	Toronto	55444K
Lockwood	Craig	Toronto	46668M
Lowenstein	Larry	Toronto	23120C
Ludwin	Ilana	Toronto	66679Q
MacDonald	John	Toronto	25884R
MacDougall	Andrew	Toronto	34775C
MacFarlane	John	Toronto	32350G
Mack	Arlene	Toronto	61605T
Malik	Waleed	Toronto	67846O
Mantello	Lisa	Toronto	48108F
Marin	Jonathan	Toronto	59873A
Marley	Patrick	Toronto	39564S
Marshall	Douglas	Toronto	29978S
Marta	Christopher	Toronto	71369E
McCarthy	Ethan	Toronto	67849C
McKean	Heather	Toronto	22123C
McLeod	Sarah	Toronto	70986C
McWhaw	Jennifer	Toronto	68831F
Meghji	Al	Toronto	30781O
Miao	Amelia	Toronto	61625H
Mihailovich	Pooja	Toronto	52376H
Milet	Matias	Toronto	50271P
Millar	Sarah	Toronto	48356J
Milligan	Peter	Toronto	23372N
Mishra	Lipi	Toronto	72666U
Mitchell	Timothy	Toronto	60222C
Mochrie	Dominic	Toronto	45475E
Moffatt	Kelly	Toronto	33391M
Morassutti	Paul	Toronto	28573V
Morgan	Eric	Toronto	60531Q
Morier	Drew	Toronto	45748D
Morley	Kevin	Toronto	27030E
Morritt	David	Toronto	24532F
Mpermperacis	Elizabeth	Toronto	66321Q
Murray	Blake	Toronto	14905K
Murray	Christopher	Toronto	24798R
Nanji	Al-Nawaz	Toronto	47678R
Naudie	Christopher	Toronto	39596P

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Nielsen	Ryan	Toronto	56422S
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Obal	Shelley	Toronto	25480E
O'Brien	Kevin	Toronto	51568U
Pandya	Sonam	Toronto	72692S
Paterson	Mary	Toronto	51572P
Pavic	Sonja	Toronto	64558U
Pearlstein	Jason	Toronto	66039I
Ponder	Dale	Toronto	22180B
Popuri	Swetha	Toronto	69862R
Poysa	Sven	Toronto	52654U
Pratt	Randall	Toronto	33439G
Pressman	Emmanuel	Toronto	40622R
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Quintieri	Matthew	Toronto	73318O
Rankin	William	Toronto	63261P
Rauccio	Lindsay	Toronto	59043G
Rees	Heidi	Toronto	31261S
Reid	Aislinn	Toronto	57238T
Richardson Arnould	Marc	Toronto	62766D
Rienzo	Douglas	Toronto	36061B
Riesterer	Patrick	Toronto	60258G
Ritchie	Lawrence	Toronto	30076B
Ritchie	Matthew	Toronto	66447Q
Roberts	Nancy	Toronto	37989Q
Robertson	Thomas	Toronto	70732W
Rochkin	Marta	Toronto	66062S
Rodal	Shuli	Toronto	43164W
Rousseau	Arthur	Toronto	73028P
Rowe	Mary	Toronto	68990J
Rubin	Erin	Toronto	71045Q
Sachar	Karin	Toronto	59944E
Sadikman	Jacob	Toronto	51150G
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Sandler	Tracy	Toronto	32443N
Sathananthan	Gajan	Toronto	73336K
Scheuermann	Scott	Toronto	21112B
Schieman	D'Arcy	Toronto	45796E
Scott	Gillian	Toronto	47928R
Sebastiano	Rocco	Toronto	36080Q
Shakra	Michael	Toronto	64604k
Sheeley	Mark	Toronto	66473O
Sheffield	Kai	Toronto	71206Q
Sheridan	Christopher	Toronto	62023R
Silverson	Jack	Toronto	28657H

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Smith	Elliot	Toronto	55384T
Smith	Steven	Toronto	21859R
Snyder	Matthew	Toronto	65717Q
St. Aubin	Jeff	Toronto	66102V
Stamp	David	Toronto	33498U
Steele	Jana	Toronto	39678J
Stein	Lori	Toronto	47359J
Steiner	Joseph	Toronto	17156U
Stidwill	Sean	Toronto	71078J
Tatone	Daniel	Toronto	72421P
Thomas	Evan	Toronto	54447K
Thompson-Kelly	Jennifer	Toronto	56830D
Tilak	Hemant	Toronto	27134B
Tomasich	Lauren	Toronto	55400E
Trachuk	Mark	Toronto	30138H
Trattner	Paula	Toronto	42153V
Tremblay	Richard	Toronto	19185F
Tsilivis	Louis	Toronto	67967L
Valentini	George	Toronto	23926N
Valley	John	Toronto	51179E
Vaughan	Eleanor	Toronto	72800O
Versteegh	Lucas	Toronto	72768C
Wainstein	Rebecca	Toronto	66515J
Wanford	Matthew	Toronto	59590H
Wasserman	Marc	Toronto	44066M
Watts	Michael	Toronto	32098D
Webster	Lee	Toronto	21341N
Wells	Allan	Toronto	38526A
Welsh	Patrick	Toronto	60322P
Whittam	Kevin	Toronto	63910C
Wiley	Blair	Toronto	55710O
Wong	Andrew	Toronto	37392G
Wong	Daniel	Toronto	44997P
Wong	Richard	Toronto	39333O
Wu	Jeremy (Chin Hei)	Toronto	73429R
Wylie	Gregory	Toronto	33559H
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Yeung	Sandy	Toronto	48201A
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Zaman	Kashif	Toronto	46141K
Zilvytis	Kazimeras (Charlie)	Toronto	72448V